
- I. Local Area Network (LAN) – Work stations that are connected to each other electronically around a local area network

Title:



D. Rules Primarily Addressed to Employees Engaged in Transmission Functions.

1. No employee of the Company

2. **Provisions providing for discretion.** Employees of the Company engaged in transmission system operations shall apply all Tariff provisions relating to the sale or purchase of open access Transmission Service in a fair and impartial manner that treats all customers (including the Company and any Affiliate) in a non-discriminatory manner if these provisions involve discretion.
3. **Notices of Waiver.** The System Control Unit shall post on OASIS notice of each waiver of a Tariff provision that is granted in favor of the Company's Wholesale Marketing Function or that of its Affiliate within one (1) business day of the waiver. The System Control Unit shall also maintain a log of the acts of waiver for in accordance with the Company Retention Schedule.
4. **Preferences disallowed/offering discounts.** The System Control Unit may not, through its Tariffs or otherwise, give preference to sales for resale by the Wholesale Marketing Function or by an affiliate, over the interests of any other wholesale customer in matters relating to the sale or purchase of Transmission Service (including issues of price, curtailments, scheduling, priority, ancillary services, etc.).

F. Transfer of Employees Between Transmission Functions and the Wholesale Marketing Function.

The System Control Unit shall post on OASIS, in accordance with the Standards of Conduct and this Policy, notices of any employee transfer between the Transmission Function and the Wholesale Marketing Function. The information to be posted must include: the name of the transferring employee, the respective titles held while performing each function (*i.e.*, on behalf of the Transmission provider and Wholesale Marketing or Affiliate) and the effective date of the transfer. The information must be posted on OASIS before the effective date of the transfer and must remain on OASIS for the 90 days after the effective date of the transfer. It is the responsibility of the Vice President, Human Resource Management to identify employees who will be transferring to or from the Wholesale Marketing Function to the Transmission Functions and promptly report this information to the Supervisor, System Control Unit for posting on OASIS.

G. Maintenance of Books and Records. The Company will maintain its books of account and records separately from those of its Affiliates as required by the Standards of Conduct.

H. Procedures Implementing Standards of Conduct Will be Publicly Available. The Company shall maintain a paper copy of this Policy for public inspection at its Corporate Headquarters. An electronic copy of this Policy will be available both on [the Company's internet homepage](#)

(<http://scport.santeecooper.com/Default.aspx>) and to authorized users on [the Company's OASIS node](http://www.oatioasis.com/sc/index.html) (www.oatioasis.com/sc/index.html).

- I. **Distribution of this Procedure.** The Chief Compliance Officer shall cause this Policy to be distributed to all of the Company's employees and to employees of the Company's Affiliate, with the exception of clerical, maintenance, field and support personnel, unless such personnel serve as both transmission function and Wholesale Marketing Function Employees.
- J. **Training.** The Chief Compliance Officer shall coordinate training in compliance with the Standards of Conduct consistent 18 C.F.R. § 358(c)(1).

IV. Administration of OASIS

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